

TRANSMITTAL SHEET FOR NOTICE OF INTENDED ACTION

Control _____ Department or Agency: Alabama Security Regulatory Board

Rule No.: Appendix

Rule Title: Application Forms

X New _____ Amend _____ Repeal _____ Adopt by Reference

Would the absence of the proposed rule significantly Harm or endanger the public health, welfare, or safety? Yes

Is there a reasonable relationship between the state's Police power and the protection of the public health, Safety, or welfare? Yes

Is there another, less restrictive method of regulation Available that could adequately protect the public? No

Does the proposed rule have the effect of directly or Indirectly increasing the costs of any goods or services Involved and, if so, to what degree? No

Is the increase in cost, if any, more harmful to the public Than the harm that might result from the absence of The proposed rule? No

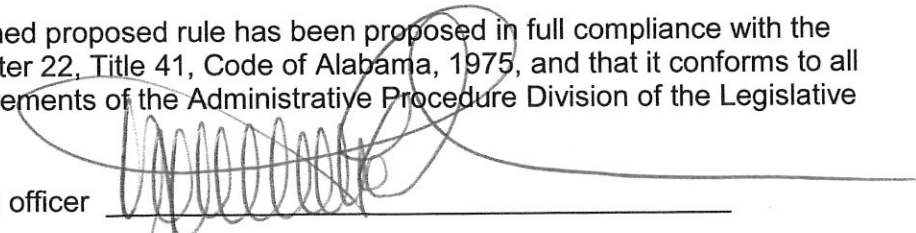
Are all facets of the rulemaking process designed solely For the purpose of, and so they have, as their primary Effect, the protection of the public? Yes

Does the proposed rule have an economic impact? No

If the proposed rule has an economic impact, the proposed rule is required to be accompanied by a fiscal note prepared in accordance with subsection (f) of Section 41-22-23, Code of Alabama, 1975.

Certification of Authorized Official

I certify that the attached proposed rule has been proposed in full compliance with the requirements of Chapter 22, Title 41, Code of Alabama, 1975, and that it conforms to all applicable filing requirements of the Administrative Procedure Division of the Legislative Reference Service.

Signature of certifying officer  _____

Date: November 25, 2013

(DATE FILED)
(STAMP)

Alabama Security Regulatory Board

NOTICE OF INTENDED ACTION

AGENCY NAME: Alabama Security Regulatory Board

RULE NO. & TITLE: Appendix Application Forms

INTENDED ACTION: New

SUBSTANCE OF PROPOSED ACTION: The Board proposes to add this appendix for the approved application forms for the four licenses issued.

TIME, PLACE, MANNER OF PRESENTING VIEWS: Written comments will be received by the Board until 4:00 p.m. on Tuesday, February 4, 2014. Comments should be directed to Keith E. Warren, Executive Director at 2777 Zelda Road, Montgomery, AL 36106 or via electronic mail at keith@warrenandco.com or via telephone at 334-420-7234.

FINAL DATE FOR COMMENT AND COMPLETION OF NOTICE:
Tuesday, February 4, 2014.

CONTACT PERSON AT AGENCY: Keith E. Warren
Executive Director
2777 Zelda Road
Montgomery, AL 36106
(334) 420-7234

A handwritten signature in black ink, appearing to read 'Keith E. Warren', is written over a horizontal line. The signature is stylized and cursive.

Keith E. Warren, *Executive Director*
Alabama Security Regulatory Board

Appendix - Application Forms

1. Personal Application
2. Company Application
3. Qualifying Agent Application
4. Certified Trainer Application