## TRANSMITTAL SHEET FOR NOTICE OF INTENDED ACTION

Control No: 560 . De	partment	or Agency:	Alabama Medicaid A	Agency
Rule No: 560-X-10	10(2)(f)	, (k); (3); (4)		
Rule Title: <u>Admissio</u> New Rule;	n Criteria X	Amend:	Repeal:	Adoption by Reference
Would the absence of the health, welfare, or safe	he propos			
Is there a reasonable re- of the public health, saf			e state's police power an	d the protection Yes
Is there another, less reprotect the public?	strictive r	nethod of res	gulation available that c	ould adequatelyNo
Does the proposed rule of any goods or service				asing the costs No
Is the increase in cost, if any, more harmful to the public than the harm that result from the absence of the proposed rule?				rm that mightNo
Are all facets of the rule they have, as their prim	ary effect	t, the protect	ion of the public?	ose of, and so  Yes  *********************************
Does the proposed rule have any economic impact?				No
If the proposed rule has an economic impact, the proposed rule is required to be accompanied by a fiscal note prepared in accordance with subsection (f) of Section 41-22-23, <u>Code of Alabama 1975.</u> ***********************************				
Certification of Author	zed Offic	cial		
I certify that the attacher requirements of Chapte applicable filing require Reference Service.	r 22, Title	e 41, <u>Code o</u>	f Alabama 1975 and tha	t it conforms to all
Signature of certifying	officer:	Xty	Meent &	
Date: 5-37	*****	******	*******	*******
FOR APD USE ONLY				
PUBLISHED IN VOLU	JME		ISSUE NO	•
EDITED AND APPRO	VED BY		DOC	IMENT NO

## ALABAMA MEDICAID AGENCY

## NOTICE OF INTENDED ACTION

RULE NO. & TITLE: 560-X-10-.10 – Admission Criteria

**INTENDED ACTION:** Amend 560-X-10-.10(2)(f), (k); (3); (4)

**SUBSTANCE OF PROPOSED ACTION**: The above-referenced rule is being amended to revise the criteria used to determine medical eligibility for applicants seeking admissions and re-admission to a Medicaid-certified nursing facility.

**TIME, PLACE, MANNER OF PRESENTING VIEWS:** Written or oral comments may be submitted to the Alabama Medicaid Agency, 501 Dexter Avenue, Post Office Box 5624, Montgomery, Alabama 36103-5624, 334-242-5833. Agency business hours are 8:00 a.m. to 5:00 p.m. Monday through Friday.

FINAL DATE FOR COMMENT AND COMPLETION OF NOTICE: Written/Oral comments concerning this change must be received by the Alabama Medicaid Agency no later than August 4, 2011.

**CONTACT PERSON AT AGENCY:** Stephanie McGee Azar, Administrative Secretary, Alabama Medicaid Agency, 501 Dexter Avenue, Post Office Box 5624, Montgomery, Alabama 36103-5624.

R. Bob Mullins, Jr., MD

Commissioner

## Rule No. 560-X-10-.10. Admission Criteria.

- (1) Guidelines for nursing facility admission criteria: The principal aspect of covered care relates to the care rendered. The controlling factor in determining whether a person is receiving covered care is the medical supervision that the resident requires. Nursing facility care provides physician and nursing services on a continuing basis. The nursing services are provided under the general supervision of a licensed registered nurse. An individual may be eligible for care under the following circumstances:
- (a) The physician must state "I certify" need for admission and continuing stay.
  - (b) Nursing care is required on a daily basis.
- (c) Nursing services are required that as a practical matter can only be provided in a nursing facility on an inpatient basis.
- (d) Nursing service must be furnished by or under the supervision of an RN and under the general direction of a physician.
- (2) Listed below, but not limited to, are specific services that a resident requires on a regular basis: (Resident must meet at least two criteria <u>for initial admissions.)</u>
- (a) Administration of a potent and dangerous injectable medication and intravenous medications and solutions on a daily basis or administration of routine oral medications, eye drops, or ointment.
- (b) Restorative nursing procedures (such as gait training and bowel and bladder training) in the case of residents who are determined to have restorative potential and can benefit from the training on a daily basis.
- (c) Nasopharyngeal aspiration required for the maintenance of a clear airway.
- (d) Maintenance of tracheostomy, gastrostomy, colostomy, ileostomy and other tubes indwelling in body cavities as an adjunct to active treatment for rehabilitation of disease for which the stoma was created.
  - (e) Administration of tube feedings by naso-gastric tube.
- (f) Care of extensive <u>decubitus pressure</u> ulcers or other widespread skin disorders.
- (g) Observation of unstable medical conditions required on a regular and continuing basis that can only be provided by or under the direction of a registered nurse.
  - (h) Use of oxygen on a regular or continuing basis.
- (i) Application of dressing involving prescription medications and aseptic techniques and/or changing of dressing in noninfected, post operative, or chronic conditions.
  - (j) Comatose patient receiving routine medical treatment.
- (k) Assistance with at least one of the activities of daily living below on an ongoing basis:
- 1. Transfer The individual is incapable of transfer to and from bed, chair, or toilet unless physical assistance is provided by others on an ongoing basis (daily or multiple times per week).
- 2. Mobility The individual requires physical assistance from another person for mobility on an ongoing basis (daily or multiple times per week). Mobility is

defined as the ability to walk, using mobility aids such as a walker, crutch, or cane if required, or the ability to use a wheelchair if walking is not feasible. The need for a wheelchair, walker, crutch, cane, or other mobility aid shall not by itself be considered to meet this requirement.

- 3. Eating The individual requires gastrostomy tube feedings or physical assistance from another person to place food/drink into the mouth. Food preparation, tray set-up, and assistance in cutting up foods shall not be considered to meet this requirement.
- 4. Toileting The individual requires physical assistance from another person to use the toilet or to perform incontinence care, ostomy care, or indwelling catheter care on an ongoing basis (daily or multiple times per week).
- 5. Expressive and Receptive Communication The individual is incapable of reliably communicating basic needs and wants (e.g., need for assistance with toileting; presence of pain) using verbal or written language; or the individual is incapable of understanding and following very simple instructions and commands (e.g., how to perform or complete basic activities of daily living such as dressing or bathing) without continual staff intervention.
- 6. Orientation The individual is disoriented to person (e.g., fails to remember own name, or recognize immediate family members) or is disoriented to place (e.g., does not know residence is a Nursing Facility).
- 7. Medication Administration The individual is not mentally or physically capable of self-administering prescribed medications despite the availability of limited assistance from another person. Limited assistance includes, but is not limited to, reminding when to take medications, encouragement to take, reading medication labels, opening bottles, handing to individual, and reassurance of the correct dose.
- 8. Behavior The individual requires persistent staff intervention due to an established and persistent pattern of dementia-related behavioral problems (e.g., aggressive physical behavior, disrobing, or repetitive elopement attempts).
- 9. Skilled Nursing or Rehabilitative Services The individual requires daily skilled nursing or rehabilitative services at a greater frequency, duration, or intensity than, for practical purposes, would be provided through a daily home health visit.

The above criteria should reflect the individual's capabilities on an ongoing basis and not isolated, exceptional, or infrequent limitations of function in a generally independent individual who is able to function with minimal supervision or assistance.

- (3) The above criteria will be applied to all admissions <u>and readmissions</u> to a nursing facility with the exception of Medicaid residents who have had no break in institutional care since discharge from a nursing home <u>and residents who are re-admitted in less than 30 days after discharge into the community</u>. These residents need to meet only one of the above criteria <u>in paragraph two, above</u>.
- (4) Individuals admitted to a nursing facility as a private pay resident in spend down status that have had no break in institutional care for more than 30 days and became

financially eligible for Medicaid, must meet only one of the criteria to transfer as a Medicaid admission.

**Author:** Robin Arrington, Administrator, LTC Provider/Recipient Services Unit. **Statutory Authority:** State Plan; Title XIX, Social Security Act; P.L. 92-603 and P.L. 98-369; 42 C.F.R. 435.1009 and Section 456.1.

**History:** Rule effective October 1, 1982. Amended February 8, 1984 and December 6, 1984. Emergency rule effective October 1, 1990, Amended February 13, 1991, and August 12, 1993. **Amended:** Filed June 20, 2003; effective September 15, 2003.

Amended: Filed June 20, 2011.