## TRANSMITTAL SHEET FOR NOTICE OF INTENDED ACTION

Control No: 360 . Department or Age	ncy: Alabama Medicaid Agency	**************************************
Rule No:560-X-609 (2) (b), (3)		
Rule Title: Consent Forms Required Be New Rule; X Amend:	efore Payments Can Be Made Repeal; Adoption l	hy Reference
Would the absence of the proposed rule health, welfare, or safety?	significantly harm or endanger the publ	lic no
Is there a reasonable relationship betwee of the public health, safety, or welfare?	en the state's police power and the protection	ctionyes
Is there another, less restrictive method of protect the public?	of regulation available that could adequa	ately <u>no</u>
Does the proposed rule have the effect of of any goods or services involved and, it	f directly or indirectly increasing the cof so, to what degree?	ests no
Is the increase in cost, if any, more harm result from the absence of the proposed in	ful to the public than the harm that mig rule?	ht <u>no</u>
Are all facets of the rulemaking process of they have, as their primary effect, the pro	designed solely for the purpose of, and extection of the public?	so <u>yes</u>
Does the proposed rule have any econom		<i>·</i>
If the proposed rule has an economic imp note prepared in accordance with subsect ************************************	ion (f) of Section 41-22-23. Code of Al	lahama 1975
Certification of Authorized Official		and the state of t
I certify that the attached proposed rule has Chapter 22, Title 41, Code of Alabama 19 the Administrative Procedure Division of	975 and that it conforms to all applicable	th the requirements of le filing requirements of
Signature of certifying officer:	Mandel 9	
Date:	******	
FOR APD USE ONLY		र र र अर्था वर्षा
PUBLISHED IN VOLUME	ISSUE NO	
EDITED AND APPROVED BY	DOCUMENT NO	)

## ALABAMA MEDICAID AGENCY

## NOTICE OF INTENDED ACTION

**RULE NO. & TITLE:** 560-X-6-.09 Consent Forms Required Before Payments Can Be Made.

**INTENDED ACTION:** Amend 560-X-6-.09 (2) (b), (3)

**SUBSTANCE OF PROPOSED ACTION**: The above referenced rule is being amended to include the exceptions for unusual circumstances that were added to the hysterectomy consent requirements.

**TIME, PLACE, MANNER OF PRESENTING VIEWS:** Written or oral comments may be submitted to the Alabama Medicaid Agency, 501 Dexter Avenue, Post Office Box 5624, Montgomery, Alabama 36103-5624, 334-242-5833. Agency business hours are 8:00 a.m. to 5:00 p.m. Monday through Friday.

**FINAL DATE FOR COMMENT AND COMPLETION OF NOTICE:** Written/Oral comments concerning this change must be received by the Alabama Medicaid Agency no later than May 4, 2012.

<u>CONTACT PERSON AT AGENCY:</u> Stephanie McGee Azar, Administrative Secretary, Alabama Medicaid Agency, 501 Dexter Avenue, Post Office Box 5624, Montgomery, Alabama 36103-5624.

R. Bob Mullins, Jr., MD

Commissioner

## Rule No. 560-X-6-.09. Consent Forms Required Before Payments Can Be Made.

(1) Abortions: A claim seeking payment for an abortion must be accompanied by one or more (depending on the circumstance) of the forms required by federal law and a copy of the medical records. Payment is available for abortions as provided under federal law.

In the event the abortion does not meet the requirements of federal law, and the recipient elects to have the abortion, the provider may bill the recipient for the abortion.

(2) Sterilization: A claim seeking payment for sterilization must be accompanied by a sterilization form (Form 193) or Medicaid approved substitute.

Sterilization by Hysterectomy

- (a) Payment is not available for a hysterectomy if:
- 1. It was performed solely for the purpose of rendering an individual permanently incapable of reproducing, or
- 2. If there was more than one purpose to the procedure, it would not have been performed but for the purpose of rendering the individual permanently incapable of reproducing.

Hysterectomy procedures performed for the sole purpose of rendering an individual incapable of reproducing are no longer covered under Medicaid. Hysterectomies done as a medical necessity as treatment of disease can be paid for by the Medicaid funds under the physician's program.

(b) A claim seeking payment for a hysterectomy performed for reasons of medical necessity, and not for purpose of sterilization, must be accompanied by a Hysterectomy Consent Form PHY-81243 (rev. 052082 02-10-2010) or Medicaid approved substitute. See Chapter 28 for sample copy of this form. The doctor's explanation to the patient that the operation will make her sterile, and the doctor's and recipient's signature must precede the operation except in the case of medical emergency unusual circumstances.

The consent form is not required if the operation took place on or after March 8, 1979, and if (1) the physician who performed the hysterectomy certifies in writing that the patient was already sterile when the hysterectomy was performed; the eause of sterility must be stated in this written statement, or if (2) the physician who performed the hysterectomy certifies in writing that the hysterectomy was performed under a life-threatening emergency situation in which prior acknowledgement was not possible. This written statement must include a description of the nature of the emergency, or if (3) the hysterectomy was performed during a period of retroactive Medicaid eligibility, and the physician who performed the hysterectomy submits, in lieu of the consent form, a written statement certifying that the individual was informed before the operation that the hysterectomy would make her sterile. The physician who performed the hysterectomy must complete Part IV. Unusual Circumstances of the revised hysterectomy consent form certifying that, (1) the patient was already sterile when the hysterectomy was performed; the cause of sterility must be stated and supporting medical records (history and physical, operative notes, and discharged

summary) must be attached, or (2) the hysterectomy was performed under a life-threatening emergency situation in which prior acknowledgement was not possible. Medical records supporting life-threatening emergency situation must be attached, or (3) the hysterectomy was performed during a period of retroactive Medicaid eligibility, and before the operation was performed, the physician informed the recipient that she would be permanently incapable of reproducing as a result of the operation.

Completed copies of the consent form must be submitted by a provider-physician, hospital, laboratory, or other providers who submit a claim related to a hysterectomy. Surgeons are responsible for submitting hard copy hysterectomy consent forms to HPES. The form must be signed by both the patient, or a representative, and the physician.

Copies of the signed form should be obtained from the physician by the hospital, laboratory, or other provider and submitted with their claims.

(3) Accident: A claim seeking payment for service made necessary because of an accident may require an accident/insurance form (XIX-TPD-1-76). See Chapter 20 (Third Party) for specific details. A copy of this form is included in Chapter 28.

Author: Desiree Nelson; Program Manager; Medical Support.

Statutory Authority: Title VIV. Social Sequential Act. 42 C.F.D. 88, 401

Statutory Authority: Title XIX, Social Security Act; 42 C.F.R. §§ 401, et seq.; State Plan.

History: Rule effective October 1, 1982. Amended: effective March 12, 1987. Emergency rule effective March 1, 1989. Amended: June 16, 1989; March 15, 1994; June 14, 2002; May 16, 2003. Amended: Filed March 20, 2012.