TRANSMITTAL SHEET FOR NOTICE OF INTENDED ACTION

Control 290 Department or Agency State Department of Education Rule No. 290-3-215 Rule Title: Preliminary Certificate Approach: For Individed First Preliminary Certificate Beginning with the Scholastic Year and Thereafter	uals Issued a			
New ☐ Amend ☐ Repeal ☐ Adopt by Reference ☐ (Check appropria	te box)			
Would the absence of the proposed rule significantly harm or endanger the public health, welfare, or safety? $\underline{\underline{\text{Yes}}}$				
Is there a reasonable relationship between the state's police power and the protection of the public health, safety, or welfare?	Yes			
Is there another, less restrictive method of regulation available that could adequately protect the public?	No			
Does the proposed rule have the effect of directly or indirectly increasing the costs of any goods or services involved and, if so, to what degree?	No			
Is the increase in cost, if any, more harmful to the public than the harm that might result from the absence of the proposed rule?	No			
Are all facets of the rulemaking process designed solely for the purpose of, and so they have, as their primary effect, the protection of the public?	<u>Yes</u>			
Does the proposed rule have an economic impact?	No			
If the proposed rule does have an economic impact, the proposed rule is required to be accompanied by a fiscal note prepared in accordance with subsection (f) of Section 41-22-23, Code of Alabama , 1975 .				
Certification of Authorized Official				

I certify that the attached proposed rule has been proposed in full compliance with the requirements of Chapter 22, Title 41, Code of Alabama, 1975, and that it conforms to all applicable filing requirements of the Administrative Procedure Division of the Legislative Reference Service.

Thomas R. Bice

State Superintendent of Education

DATE 13

STATE DEPARTMENT OF EDUCATION

NOTICE OF INTENDED ACTION

AGENCY NAME:

State Department of Education

RULE NO. & TITLE:

- 290-3-2-.02 Basic Principles
- 290-3-2-.03 Professional Educator Certificate and Professional Leadership Certificate
- **290-3-2-.15** Preliminary Certificate Approach: For Individuals Issued a First Preliminary Certificate Beginning with the 2011-2012 Scholastic Year and Thereafter
- **290-3-2-.16** Preliminary Certificate Through Exception in Reading Approach **(Repeal)** Preliminary Certificate Through Exception in Educational Administration Approach: For Individuals Issued a First Preliminary Certificate Through Exception in Educational Administration Beginning with the 2014-2015 Scholastic Year and Thereafter **(New)**
- 290-3-2-.17 Additional Teaching Field Approach Based on a Professional Educator Certificate, Experience, and a Test Score
- 290-3-2-.23 Specialty Area Career and Technical Certificate in a Technical Education Program Area Approach
- 290-3-2-.24 Specialty Area Career and Technical Certificate in Health Science Approach
- **290-3-2-.25** Career and Technical Education Additional Teaching Field Approach Based on a Professional Educator Certificate, Experience, and an Occupational Proficiency Assessment
- 290-3-2-.26 Career and Technical Education Temporary Certificate (CTE T)
- 290-3-2-.28 Junior Reserve Officer Training Corps (JROTC) Certificate Approach
- 290-3-2-.29 Child Nutrition Program Director Certificate Approach
- 290-3-2-.30 Interim Certificate (Repeal) Additional Certification in Driver and Traffic Safety Education (New)
- 290-3-2-.31 Emergency Certificate
- 290-3-2-.32 Substitute Teacher License
- 290-3-2-.33 Renewal Requirements: Continuation or Reinstatement
- 290-3-2-.35 Certification Forms and Supplements

SUBSTANCE OF PROPOSED ACTION:

As it pertains to Rules 290-3-2-.02, 290-3-2-.03, 290-3-2-.17, 290-3-2-.23, 290-3-2-.24, 290-3-2-.25, 290-3-2-.26, 290-3-2-.28, 290-3-2-.29, 290-3-2-.31, 290-3-2-.32, and 290-3-2-.33, to change the certificate beginning valid period to be effective on the date of issuance.

As it pertains to Rule 290-3-2-.16, to delete the Preliminary Certificate Through Exception in Reading Approach.

As it pertains to Rule 290-3-2-.16, to create an alternative certification approach for individuals who do not meet the administrative experience requirement when applying through the Certificate and Experience Reciprocity Certification Approach.

As it pertains to Rules 290-3-2-.15 and 290-3-2-.17, to delete the Driver and Traffic Safety Education certification approaches which require testing.

As it pertains to Rule 290-3-2-.30, to delete the Interim Certificate.

As it pertains to Rule 290-3-2-.30, to create a new Driver and Traffic Safety Education certification approach.

As it pertains to Rule 290-3-2-.35, to update and add new application forms.

TIME, PLACE, MANNER OF PRESENTING VIEWS: A public hearing will be held at 1:00 p.m., January 8, 2014, Auditorium, Plaza Level, Gordon Persons Building, 50 North Ripley Street, Montgomery, Alabama. All persons wishing to express their views should contact the State Superintendent of Education (334-242-9700) no later than December 30, 2013, in order to be scheduled on the agenda. All persons wishing to express their views in writing to the State Superintendent of Education should address all written comments to the State Superintendent of Education, Gordon Persons Building, P.O. Box 302101, Montgomery, Alabama 36130-2101.

FINAL DATE FOR COMMENT AND COMPLETION OF NOTICE:

January 8, 2014

CONTACT PERSON AT AGENCY:

Dr. Jayne A. Meyer Alabama State Department of Education P.O. Box 302101 Montgomery, Alabama 36130-2101 (334)242-9560

Thomas R. Bice

State Superintendent of Education

TEACHER CERTIFICATION

290-3-2-.15

290-3-2-.15 <u>Preliminary Certificate Approach: For Individuals Issued a First Preliminary Certificate Beginning with the 2011-2012 Scholastic Year and Thereafter.</u>

- (1) Overview of the Preliminary Certificate Approach. When no other certification option is applicable, the Preliminary Certificate allows a superintendent or administrator to employ an applicant full-time who has not met requirements for a Professional Educator Certificate or Professional Leadership Certificate. Complete applications for the Preliminary Certificate approach must be received in the Office no later than October 1 of the scholastic year for which they are requested.
- (a) The applicant must have at least an earned master's degree with a minimum grade point average (GPA) overall of at least 3.0 from a regionally accredited senior institution. The GPA must be the official overall GPA as defined by the degree-granting institution and as posted on the official transcript of the degree-granting institution. An applicant who holds a valid Class A or Class AA Professional Educator Certificate or Professional Leadership Certificate is exempt from the GPA requirement. Additional information about a Preliminary Certificate Through Exception is in Rule 290-3-2-.15(6).
- (b) The applicant must have a major in the area for which the Preliminary Certificate is recommended. Information about a Preliminary Certificate Through Exception is in Rule 290-3-2-.15(6).
- (c) An applicant using the Preliminary Certificate approach must complete prescribed requirements for testing and a criminal history background check as required by the Alabama Child Protection Act of 1999, as amended, and Rule 290-3-2-.02(9).
- (d) The Preliminary Certificate approach allows up to three years of employment which are not required to be in the same school system and/or nonpublic school. All three Preliminary Certificates must be issued within the four scholastic years from the July 1 beginning date of the first Preliminary Certificate.
- (e) An individual must hold a valid certificate for all teaching fields and/or areas of instructional support to which he or she is assigned. An individual who holds only a valid Preliminary Certificate must be assigned only to the teaching field or area of instructional support for which the Preliminary Certificate was issued. An individual who holds a valid Preliminary Certificate and a valid certificate for another teaching field or area of instructional support must be assigned to the area of the Preliminary Certificate for at least the majority of the day.
- (f) The superintendent or administrator is required to monitor the applicant's compliance with the requirements of the Preliminary Certificate approach.
- (g) Additional information about the Preliminary Certificate approach can be found on Form PRE and Form ADM.

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(2) Grade Levels, Teaching Fields, Areas of Instructional Support, and Resulting Professional Educator Certificate or Professional Leadership Certificate.

	Preliminary Certificate for Grades P-12	Preliminary Certificate Through Exception for Grades 6-12 or P-12	Preliminary Certificate Through Exception for Grades P-12
Teaching Fields a	Speech or Language Impairment (formerly Speech and Language Impaired)	ь	N/A
Areas of Instructional Support	Library-Media or School Counseling	N/A	Instructional Leadership or School Counseling
Required Form	Supplement PRE	Supplement ADM c	Supplement ADM c
Resulting Professional Educator Certificate or Professional Leadership Certificate	Class A or AA	Class B, A, or AA	Class A or AA

^aFirst, second, and third Preliminary Certificates must be issued in the same area.

(3) Limitations on Issuance.

(a) An individual may not be employed for more than three scholastic years on the basis of holding an Alternative Baccalaureate-Level Certificate, a Career and Technical Alternative Baccalaureate-Level Certificate, a Preliminary Certificate, a Special Alternative Certificate, an alternative-approach certificate issued by another state or issuing authority, or any combination thereof. An individual who has not completed all requirements for professional educator certification or professional leadership certification through this approach by the expiration date of the second Preliminary Certificate (and if applicable, the expiration date of the third Preliminary Certificate) shall no longer be eligible for certification through the Preliminary Certificate approach. However, an individual who earns a Professional Educator Certificate or Professional Leadership Certificate in the area for which the alternative certificates were issued may be eligible to follow an alternative approach to earning a Professional Educator Certificate or Professional Leadership Certificate in another area.

Although an option, a Preliminary Certificate is rarely issued for the teaching fields specified in the Teacher Education Chapter of the *Alabama Administrative Code*. Preliminary Certificates may not be issued for Early Childhood Education, Elementary Education, Early Childhood Special Education, or Collaborative Special Education (Grades K-6).

Only available at the request of public school superintendents.

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- (b) A Preliminary Certificate for the requested area will not be issued for individuals who are eligible for certification through any other alternative, reciprocal, or traditional approach option for the requested area.
- (c) A first Preliminary Certificate may not be based on experience. This limitation became effective for Preliminary Certificates issued with a valid period beginning on or after July 1, 2003.
- (d) A first Preliminary Certificate in Speech and Language Impaired/ Speech or Language Impairment at the bachelor's degree level has not been issued with a valid period beginning after July 1, 2004.
- (e) For School Counseling, effective with the 2008-2009 scholastic year and thereafter, a Preliminary Certificate in School Counseling will <u>not</u> be issued for an applicant who has a master's degree from a regionally accredited senior institution in an area of counseling other than School Counseling unless the applicant holds a valid license as an Associate Licensed Counselor or Licensed Professional Counselor (LPC) issued by a state board of examiners in counseling.
- (f) An individual with an expired Class B, Class A, or Class AA Professional Educator Certificate in School Counseling, Library-Media, Speech and Language Impaired/Speech or Language Impairment, or any other teaching field is not eligible to pursue the Preliminary Certificate approach in the area(s) of the expired Professional Educator Certificate.
- (g) A Preliminary Certificate in Instructional Leadership will not be issued for an individual who:
- 1. Does not meet the requirements of the Certificate and Experience Reciprocity Option in Educational Administration or Supervision as prescribed in Rule 290-3-2-.22(d).
- 2. Holds an expired Professional Leadership Certificate in an area of administration or supervision.
- 3. Was enrolled in or completed a State-approved/state-approved program in an area of educational administration or supervision but did not meet eligibility requirements for a Professional Leadership Certificate or who did not meet an application deadline as prescribed by the Alabama State Board of Education.

(4) Valid Period.

- (a) A first Preliminary Certificate shall have a valid period of one scholastic year (July 1 to June 30) and may be issued for up to two additional scholastic years for employment in a public school system or a nonpublic school as prescribed in Rule 290-3-2-.15(5) and (6).
- (b) All three Preliminary Certificates must be issued within the four scholastic years from the July 1 beginning date of the first Preliminary Certificate.

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- (5) Requirements for the Issuance of a Preliminary Certificate for Speech or Language Impairment, Library-Media, or School Counseling. A Preliminary Certificate for Speech or Language Impairment, Library-Media, or School Counseling may be issued only when all requirements on Form PRE have been met. Information about the requirements for issuance of a Preliminary Certificate Through Exception for Instructional Leadership, School Counseling, or teaching fields is in Rule 290-3-2-.15(6).
- (a) Requirements for the first Preliminary Certificate issued for the 2011-2012 scholastic year and thereafter:
 - 1. General requirements:
- (i) A recommendation on Form PRE from a superintendent or administrator who wishes to employ the applicant full-time in the area and at the grade level for which the first Preliminary Certificate is to be issued except as noted in Rule 290-3-2-.15(1)(e). The complete Preliminary Certificate application must be received in the Office no later than October 1 of the scholastic year for which the first Preliminary Certificate (valid for one scholastic year) is requested.
- (ii) Verification on an official transcript of an earned master's degree with a minimum grade point average (GPA) overall of at least 3.0 from a regionally accredited senior institution in the area for which the first Preliminary Certificate is recommended (i.e., Speech or Language Impairment, Library Science, or counseling) earned prior to September 1 of the scholastic year for which the first Preliminary Certificate is requested. An applicant who holds a valid Class A or Class AA Professional Educator Certificate or Professional Leadership Certificate is exempt from the GPA requirement.
- (iii) Verification of <u>current</u> passing scores attained on the basic skills assessments and the prescribed subject area test(s) of the Alabama Educator Certification Testing Program (AECTP) on test administration dates prior to September 1 of the scholastic year for which the first Preliminary Certificate is requested. Additional information is in Rule 290-3-2-.01(6).
- (iv) A criminal history background check as required by the Alabama Child Protection Act of 1999, as amended, and Rule 290-3-2-.02(9).
 - 2. An assigned mentor as required in Rule 290-3-2-.01(35).
 - 3. Area-specific requirements:
- (i) <u>Speech or Language Impairment</u> requires an earned master's degree in speech-language pathology or communication sciences and disorders (with a speech and language emphasis) from a regionally accredited senior institution.
- (ii) <u>Library-Media</u> requires an earned master's degree in library science from a regionally accredited senior institution.

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- (iii) <u>School Counseling</u> requires an earned master's degree from a regionally accredited senior institution and <u>one</u> of the following options:
- (I) A valid Professional Educator Certificate and completion of a Class A State-approved program in School Counseling, but without the two full years of full-time educational experience required for issuance of the Class A Professional Educator Certificate in School Counseling.
- (II) A valid <u>master's degree level or higher</u> professional certificate in School Counseling issued by another state or DoDEA, but without the two full years of full-time educational experience required for issuance of the Class A Professional Educator Certificate in School Counseling.
- (III) Completion of a master's degree level program in an area of counseling other than School Counseling at a regionally accredited senior institution <u>and</u> a valid license as an Associate Licensed Counselor or a Licensed Professional Counselor (LPC) issued by a state board of examiners in counseling.
- (b) Requirements for the <u>second</u> Preliminary Certificate for individuals issued a first Preliminary Certificate for the 2011-2012 scholastic year and thereafter:
- 1. A recommendation on Form PRE from a superintendent or administrator who wishes to employ the applicant full-time in the area and at the grade level for which the second Preliminary Certificate is to be issued except as noted in Rule 290-3-2-.15(1)(e). The complete Preliminary Certificate application must be received in the Office no later than October 1 of the scholastic year for which the second Preliminary Certificate is requested. Additional information is in Rule 290-3-2-.15(2).
- 2. Verification on Supplement EXP of full-time experience in the teaching field or area of instructional support and at the grade level for which the first Preliminary Certificate was issued, while holding the first Preliminary Certificate except as noted in Rule 290-3-2-.15(1)(e).
- 3. Verification of a <u>current</u> passing score attained on the prescribed subject area test(s) of the Alabama Educator Certification Testing Program (AECTP). This requirement becomes effective upon adoption by the Alabama State Board of Education of a newly prescribed subject area test. This requirement also becomes effective upon adoption by the Alabama State Board of Education of an increased minimum passing score on an existing prescribed subject area test. The date by which these requirements must be met will be prescribed by the Alabama State Department of Education. Additional information is in Rule 290-3-2-.01(6).
 - 4. An assigned mentor as required in Rule 290-3-2-.01(35).

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- (c) Requirements for the <u>third</u> Preliminary Certificate for individuals issued a first Preliminary Certificate for the 2011-2012 scholastic year and thereafter:
- 1. A recommendation on Form PRE from a superintendent or administrator who wishes to employ the applicant full-time in the area and at the grade level for which the third Preliminary Certificate is to be issued except as noted in Rule 290-3-2-.15(1)(e). The complete Preliminary Certificate application must be received in the Office no later than October 1 of the scholastic year for which the third Preliminary Certificate is requested. Additional information is in Rule 290-3-2-.15(2).
- (i) Issuance of a third Preliminary Certificate may be recommended only if all requirements for a Professional Educator Certificate have been met <u>except</u> for the two full years of full-time experience prescribed in Rule 290-3-2-.15(8).
- (ii) Issuance of the third Preliminary Certificate requires that the applicant must document at least one full year of the two full years of full-time experience prescribed in Rule 290-3-2-.15(8).
- 2. Verification on Supplement EXP of full-time experience in the teaching field or area of instructional support and at the grade level for which the second Preliminary Certificate was issued, while holding the second Preliminary Certificate except as noted in Rule 290-3-2-.15(1)(e).
- 3. Verification of a <u>current</u> passing score attained on the prescribed subject area test(s) of the Alabama Educator Certification Testing Program (AECTP). This requirement becomes effective upon adoption by the Alabama State Board of Education of a newly prescribed subject area test. This requirement also becomes effective upon adoption by the Alabama State Board of Education of an increased minimum passing score on an existing prescribed subject area test. The date by which these requirements must be met will be prescribed by the Alabama State Department of Education. Additional information is in Rule 290-3-2-.01(6).
 - 4. An assigned mentor as required in Rule 290-3-2-.01(35).
- (d) Information about the degree level of a Preliminary Certificate or Preliminary Certificate Through Exception is in Rule 290-3-2-.15(7).
- (e) Information about applying for a Professional Educator Certificate is in Rule 290-3-2-.15(8).

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- (6) Requirements for the Issuance of a Preliminary Certificate Through Exception in Instructional Leadership, School Counseling, and Teaching Fields for the 2011-2012 Scholastic Year and Thereafter.
- (a) Only public school superintendents may use Form ADM to recommend that the State Superintendent of Education consider an exception to issue a Preliminary Certificate Through Exception.
- 1. Recommendations may only be made for an applicant who is not eligible for certification in a teaching field or area of instructional support through any other approach.
 - 2. Recommendations for exceptions will be considered in the areas of:
 - (i) Instructional Leadership. Additional information is in Rule 290-3-2-.15(3)(g).
 - (ii) School Counseling.
 - (iii) Secondary and P-12 teaching fields.
- 3. Exceptions will <u>not</u> be considered in Driver and Traffic Safety Education, Reading, School Psychometry, School Psychology, or Instructional Leadership for individuals indicated in Rule 290-3-2-.15(3)(g).
- (b) Requirements for the <u>first</u> Preliminary Certificate Through Exception issued for the 2011-2012 scholastic year and thereafter:
- 1. A recommendation on Form ADM from a superintendent who wishes to employ the applicant full-time in the area and at the grade level for which the first Preliminary Certificate Through Exception is to be issued except as noted in Rule 290-3-2-.15(1)(e). The complete Preliminary Certificate Through Exception application must be received in the Office no later than October 1 of the scholastic year for which the first Preliminary Certificate Through Exception is requested.
- 2. Verification of <u>current</u> passing scores attained on the basic skills assessments and the prescribed subject area test(s) of the Alabama Educator Certification Testing Program (AECTP) on test administration dates prior to September 1 of the scholastic year for which the first Preliminary Certificate Through Exception is requested. Additional information about the AECTP is in Rule 290-3-2-.01(6).
- 3. A criminal history background check as required by the Alabama Child Protection Act of 1999, as amended, and Rule 290-3-2-.02(9).
 - 4. An assigned mentor as required in Rule 290-3-2-.01(35).

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- 5. Area-Specific Requirements:
- (i) Instructional Leadership requires both of the following:
- (I) Verification on an official transcript of an earned master's degree with a minimum grade point average (GPA) overall of at least 3.0 from a regionally accredited senior institution earned prior to September 1 of the scholastic year for which the first Preliminary Certificate Through Exception is requested. An applicant who holds a valid Class A or Class AA Professional Educator Certificate or Professional Leadership Certificate is exempt from the GPA requirement.
- (II) Verification on official transcript(s) from a regionally accredited senior institution of credit earned prior to September 1 of the scholastic year for which the first Preliminary Certificate Through Exception is requested in the areas of ethics, planning, human and nonhuman resource development, diversity, public relations, technology, and personnel and financial management.
 - (ii) School Counseling requires one of the following options:
- (I) Completion of a Class A State-approved program in School Counseling <u>prior</u> <u>to</u> September 1, 2007. This option only exists for an applicant who does not hold a Professional Educator Certificate in a teaching field and requires all of the following:
- I. Verification on an official transcript of a master's degree earned prior to September 1, 2007, with a minimum grade point average (GPA) overall of at least 3.0 from a regionally accredited senior institution.
- II. Verification on an official transcript that all coursework required in the Class A State-approved program in School Counseling was earned prior to September 1, 2007.
- III. Verification in a letter from the Certification Officer of the Alabama institution that the Class A State-approved program in School Counseling was completed prior to September 1, 2007.
- (II) Completion of a Class A State-approved program in School Counseling on or <u>after September 1</u>, 2007, but prior to September 1 of the scholastic year for which the first Preliminary Certificate Through Exception is requested. This option only exists for an applicant who does not hold a Professional Educator Certificate in a teaching field and requires <u>all</u> of the following:
- I. Verification on an official transcript of a master's degree earned on or after September 1, 2007, but prior to September 1 of the scholastic year for which the first Preliminary Certificate Through Exception is requested with a minimum grade point average (GPA) overall of at least 3.0 from a regionally accredited senior institution.
- II. Verification on an official transcript that all coursework required in the Class A State-approved program in School Counseling was earned on or after September 1, 2007, but prior to September 1 of the scholastic year for which the first Preliminary Certificate Through Exception is requested.

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- III. Verification in a letter from the Certification Officer of the Alabama institution that the Class A State-approved program in School Counseling was completed on or after September 1, 2007, but prior to September 1 of the scholastic year for which the first Preliminary Certificate Through Exception is requested.
- IV. Verification of a valid license as an Associate Licensed Counselor or as a Licensed Professional Counselor (LPC) issued by a state board of examiners in counseling.
- (iii) Teaching fields (Grades 6-12 or P-12) require at least an earned bachelor's degree with a minimum grade point average (GPA) overall of at least 2.5 from a regionally accredited senior institution earned prior to September 1 of the scholastic year for which the first Preliminary Certificate Through Exception is requested. An applicant who holds a valid Professional Educator Certificate or Professional Leadership Certificate is exempt from the GPA requirement. This option requires completion of the following prior to September 1 of the scholastic year for which the first Preliminary Certificate Through Exception is requested:
 - (I) For teaching fields one of the following options:
- I. Verification on an official transcript from a regionally accredited senior institution of an academic major in the teaching field for which the first Preliminary Certificate Through Exception will be sought. Additional information is in Rule 290-3-2-.15(2).
- II. Verification on an official transcript(s) from a regionally accredited senior institution(s) of at least 32 semester hours appropriate to the teaching field for which the first Preliminary Certificate Through Exception is to be issued, including at least 19 semester hours of upper-division credit. Additional information is in Rule 290-3-2-.15(2).
- (c) Requirements for the <u>second</u> Preliminary Certificate Through Exception for individuals issued a first Preliminary Certificate Through Exception for the 2011-2012 scholastic year and thereafter:
- 1. A recommendation on Form ADM from a superintendent who wishes to employ the applicant full-time in the area and at the grade level for which the second Preliminary Certificate Through Exception is to be issued except as noted in Rule 290-3-2-.15(1)(e). The complete Preliminary Certificate Through Exception application must be received in the Office no later than October 1 of the scholastic year for which the second Preliminary Certificate Through Exception is requested. Additional information is in Rule 290-3-2-.15(2).
- 2. Verification on Supplement EXP of full-time experience in the teaching field or area of instructional support and at the grade level for which the first Preliminary Certificate Through Exception was issued, while holding the first Preliminary Certificate Through Exception except as noted in Rule 290-3-2-.15(1)e.

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- 3. Verification of a <u>current</u> passing score attained on the prescribed subject area test(s) of the Alabama Educator Certification Testing Program (AECTP). This requirement becomes effective upon adoption by the Alabama State Board of Education of a newly prescribed subject area test. This requirement also becomes effective upon adoption by the Alabama State Board of Education of an increased minimum passing score on an existing prescribed subject area test. The date by which these requirements must be met will be prescribed by the Alabama State Department of Education. Additional information is in Rule 290-3-2-.01(6).
 - 4. An assigned mentor as required in Rule 290-3-2-.01(35).
- 5. If the Preliminary Certificate Through Exception is in the area of Instructional Leadership, verification of completion of training in personnel evaluation for the different categories of personnel for whom the applicant is responsible.
- (d) Requirements for the <u>third</u> Preliminary Certificate Through Exception for individuals issued a first Preliminary Certificate Through Exception for the 2011-2012 scholastic year and thereafter:
- 1. A recommendation on Form ADM from a superintendent who wishes to employ the applicant full-time in the area and at the grade level for which the third Preliminary Certificate Through Exception is to be issued except as noted in Rule 290-3-2-.15(1)(e). The complete Preliminary Certificate Through Exception application must be received in the Office no later than October 1 of the scholastic year for which the third Preliminary Certificate Through Exception is requested. Additional information is in Rule 290-3-2-.15(2).
- (i) Issuance of a third Preliminary Certificate Through Exception may be recommended only if all requirements for a Professional Educator Certificate or Professional Leadership Certificate have been met <u>except</u> for the two full years of full-time experience prescribed in Rule 290-3-2-.15(8).
- (ii) Issuance of the third Preliminary Certificate Through Exception requires that the applicant must document at least one full year of the two full years of full-time experience prescribed in Rule 290-3-2-.15(8).
- 2. Verification on Supplement EXP of full-time experience in the teaching field or area of instructional support and at the grade level for which the second Preliminary Certificate Through Exception was issued, while holding the second Preliminary Certificate Through Exception except as noted in Rule 290-3-2-.15(1)(e).
- 3. Verification of a <u>current</u> passing score attained on the prescribed subject area test(s) of the Alabama Educator Certification Testing Program (AECTP). This requirement becomes effective upon adoption by the Alabama State Board of Education of a newly prescribed subject area test. This requirement also becomes effective upon adoption by the Alabama State Board of Education of an increased minimum passing score on an existing prescribed subject area test. The date by which these requirements must be met will be prescribed by the Alabama State Department of Education. Additional information is in Rule 290-3-2-.01(6).

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- 4. An assigned mentor as required in Rule 290-3-2-.01(35).
- (d) Information about the degree level of a Preliminary Certificate Through Exception is in Rule 290-3-2-.15(7).
- (e) Information about applying for a Professional Educator Certificate or Professional Leadership Certificate is in Rule 290-3-2-.15(8).
- (7) **Degree Level.** A Preliminary Certificate or Preliminary Certificate Through Exception is issued at the level of the applicant's highest earned degree (bachelor's, master's, education specialist, but not doctoral) from a regionally accredited senior institution with a major in the teaching field or area of instructional support for which the Preliminary Certificate or Preliminary Certificate Through Exception is to be issued.
- (8) Application for the Professional Educator Certificate or Professional Leadership Certificate. The appropriate Professional Educator Certificate or Professional Leadership Certificate may be issued through the Preliminary Certificate Approach when the applicant has met all requirements for the first and second Preliminary Certificates (and if applicable, the third Preliminary Certificate) and all of the following requirements:
- (a) Verification on Supplement EXP of two full years of full-time experience in the teaching field or area of instructional support and at the grade level for which the Preliminary Certificates were issued, while holding a Preliminary Certificate except as prescribed in Rule 290-3-2-.15(1)(e).
- (b) Verification of a <u>current</u> passing score attained on the prescribed subject area test(s) of the Alabama Educator Certification Testing Program (AECTP). This requirement becomes effective upon adoption by the Alabama State Board of Education of a newly prescribed subject area test. This requirement also becomes effective upon adoption by the Alabama State Board of Education of an increased minimum passing score on an existing prescribed subject area test. The date by which these requirements must be met will be prescribed by the Alabama State Department of Education. Additional information is in Rule 290-3-2-.01(6).
 - (c) Completion of all requirements on Form PRE or Form ADM.
- (d) Submission of an application for certification on Form PFA. The complete application must be received in the Office no later than October 1 of the year of expiration of the second Preliminary Certificate (and if applicable, no later than October 1 of the year of expiration of the third Preliminary Certificate). An individual who does not apply by this date may forfeit the right to seek a Professional Educator Certificate or Professional Leadership Certificate through this approach.

Author: Dr. Thomas R. Bice.

Statutory Authority: Ala. Const. Amend. 284, Ala. Code §§16-3-11, 16-23-1 and 16-23-2 (1975).

History: Adopted new, 8-4-08, effective 9-18-08; repealed and adopted new 12-9-10, effective 1-14-11; emergency

Rule to repeal filed 11-14-13; repealed and adopted new ______, effective _____

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290-3-2-.15(1)(g)

290-3-2-.15 <u>Preliminary Certificate Approach: For Individuals Issued a First Preliminary Certificate Beginning with the 2011-2012 Scholastic Year and Thereafter.</u>

- (1) Overview of the Preliminary Certificate Approach. When no other certification option is applicable, the Preliminary Certificate allows a superintendent or administrator to employ an applicant full-time who has not met requirements for a Professional Educator Certificate or Professional Leadership Certificate. Complete applications for the Preliminary Certificate approach must be received in the Office no later than October 1 of the scholastic year for which they are requested.
- (a) The applicant must have at least an earned master's degree with a minimum grade point average (GPA) overall of at least 3.0 from a regionally accredited senior institution. The GPA must be the official overall GPA as defined by the degree-granting institution and as posted on the official transcript of the degree-granting institution. An applicant who holds a valid Class A or Class AA Professional Educator Certificate or Professional Leadership Certificate is exempt from the GPA requirement. Additional information about a Preliminary Certificate Through Exception is in Rule 290-3-2-.15(6).
- (b) The applicant must have a major in the area for which the Preliminary Certificate is recommended. Information about a Preliminary Certificate Through Exception is in Rule 290-3-2-.15(6).
- (c) An applicant using the Preliminary Certificate approach must complete prescribed requirements for testing and a criminal history background check as required by the Alabama Child Protection Act of 1999, as amended, and Rule 290-3-2-.02(9).
- (d) The Preliminary Certificate approach allows up to three years of employment which are not required to be in the same school system and/or nonpublic school. All three Preliminary Certificates must be issued within the four scholastic years from the July 1 beginning date of the first Preliminary Certificate.
- (e) An individual must hold a valid certificate for all teaching fields and/or areas of instructional support to which he or she is assigned. An individual who holds only a valid Preliminary Certificate must be assigned only to the teaching field or area of instructional support for which the Preliminary Certificate was issued. An individual who holds a valid Preliminary Certificate and a valid certificate for another teaching field or area of instructional support must be assigned to the area of the Preliminary Certificate for at least the majority of the day.
- (f) The superintendent or administrator is required to monitor the applicant's compliance with the requirements of the Preliminary Certificate approach.
- (g) Additional information about the Preliminary Certificate approach can be found on Supplement PRE and Supplement ADM.

SUPP. NO. 10-4 290-3-2-.15(2)

TEACHER CERTIFICATION

290-3-2-.15(3)(a)

(2) Grade Levels, Teaching Fields, Areas of Instructional Support, and Resulting Professional Educator Certificate or Professional Leadership Certificate.

	Preliminary Certificate	Preliminary Certificate	Preliminary Certificate
17	for Grades P-12	Through Exception for	Through Exception for
		Grades 6-12 or P-12	Grades P-12
Teaching Fields	Speech or Language	b	N/A
a	Impairment (formerly		
1	Speech and Language		
	Impaired)		
Areas of Instructional	Library-Media or School	N/A	Instructional Leadership
Support	Counseling	e•	(formerly Educational
a	2		Administration) or
			School Counseling
Required Form	Supplement PRE	Supplement ADM	Supplement ADM
		c	c
Resulting Professional			
Educator Certificate	Class A or AA	Class B, A, or AA	Class A or AA
or Professional			
Leadership Certificate			

^aFirst, second, and third Preliminary Certificates must be issued in the same area.

(3) Limitations on Issuance.

(a) An individual may not be employed for more than three scholastic years on the basis of holding an Alternative Baccalaureate-Level Certificate, a Career and Technical Alternative Baccalaureate-Level Certificate, a Preliminary Certificate, a Special Alternative Certificate, an alternative-approach certificate issued by another state or issuing authority, or any combination thereof. An individual who has not completed all requirements for professional educator certification or professional leadership certification through this approach by the expiration date of the second Preliminary Certificate (and if applicable, the expiration date of the third Preliminary Certificate) shall no longer be eligible for certification through the Preliminary Certificate approach. However, an individual who earns a Professional Educator Certificate or Professional Leadership Certificate in the area for which the alternative certificates were issued may be eligible to follow an alternative approach to earning a Professional Educator Certificate or Professional Leadership Certificate in another area.

Although an option, a Preliminary Certificate is rarely issued for the teaching fields specified in the Teacher Education Chapter of the *Alabama Administrative Code*, except for Driver and Traffic Safety Education. Preliminary Certificates may not be issued for Early Childhood Education, Elementary Education, Early Childhood Special Education, or Collaborative Special Education (Grades K-6).

Only available at the request of public school superintendents.

SUPP. NO. 10-4 290-3-2-.15(3)(b)

TEACHER CERTIFICATION

290-3-2-.15(4)(b)

- (b) A Preliminary Certificate for the requested area will not be issued for individuals who are eligible for certification through any other alternative, reciprocal, or traditional approach option for the requested area.
- (c) A first Preliminary Certificate may not be based on experience. This limitation became effective for Preliminary Certificates issued with a valid period beginning on or after July 1, 2003.
- (d) A first Preliminary Certificate in Speech and Language Impaired/ Speech or Language Impairment at the bachelor's degree level has not been issued with a valid period beginning after July 1, 2004.
- (e) For School Counseling, effective with the 2008-2009 scholastic year and thereafter, a Preliminary Certificate in School Counseling will <u>not</u> be issued for an applicant who has a master's degree from a regionally accredited senior institution in an area of counseling other than School Counseling unless the applicant holds a valid license as an Associate Licensed Counselor or Licensed Professional Counselor (LPC) issued by a state board of examiners in counseling.
- (f) An individual with an expired Class B, Class A, or Class AA Professional Educator Certificate in School Counseling, Library-Media, Speech and Language Impaired/Speech or Language Impairment, Driver and Traffic Safety Education, or any other teaching field is not eligible to pursue the Preliminary Certificate approach in the area(s) of the expired Professional Educator Certificate.
- (g) A Preliminary Certificate in Instructional Leadership will not be issued for an individual who:
- 1. Does not meet the requirements of the Certificate and Experience Reciprocity Option in Educational Administration or Supervision as prescribed in Rule 290-3-2-.22(d).
- 2. Holds an expired Professional Leadership Certificate in an area of administration or supervision.
- 3. Was enrolled in or completed a State-approved/state-approved program in an area of educational administration or supervision but did not meet eligibility requirements for a Professional Leadership Certificate or who did not meet an application deadline as prescribed by the Alabama State Board of Education.

(4) Valid Period.

- (a) A first Preliminary Certificate shall have a valid period of one scholastic year (July 1 to June 30) and may be issued for up to two additional scholastic years for employment in a public school system or a nonpublic school as prescribed in Rule 290-3-2-.15(5) and (6).
- (b) All three Preliminary Certificates must be issued within the four scholastic years from the July 1 beginning date of the first Preliminary Certificate.

SUPP. NO. 10-4 290-3-2-.15(5)

TEACHER CERTIFICATION

290-3-2-.15(5)(a)3.(ii)

- (5) Requirements for the Issuance of a Preliminary Certificate for Speech or Language Impairment, Library-Media, or School Counseling. A Preliminary Certificate for Speech or Language Impairment, Library-Media, or School Counseling may be issued only when all requirements on Supplement PRE have been met. Information about the requirements for issuance of a Preliminary Certificate Through Exception for Instructional Leadership, School Counseling, or teaching fields is in Rule 290-3-2-.15(6).
- (a) Requirements for the first Preliminary Certificate issued for the 2011-2012 scholastic year and thereafter:
 - 1. General requirements:
- (i) A recommendation on Supplement PRE from a superintendent or administrator who wishes to employ the applicant full-time in the area and at the grade level for which the first Preliminary Certificate is to be issued except as noted in Rule 290-3-2-.15(1)(e). The complete Preliminary Certificate application must be received in the Office no later than October 1 of the scholastic year for which the first Preliminary Certificate (valid for one scholastic year) is requested.
- (ii) Verification on an official transcript of an earned master's degree with a minimum grade point average (GPA) overall of at least 3.0 from a regionally accredited senior institution in the area for which the first Preliminary Certificate is recommended (i.e., Speech or Language Impairment, Library Science, or counseling) earned prior to September 1 of the scholastic year for which the first Preliminary Certificate is requested. An applicant who holds a valid Class A or Class AA Professional Educator Certificate or Professional Leadership Certificate is exempt from the GPA requirement.
- (iii) Verification of <u>current</u> passing scores attained on the basic skills assessments and the prescribed Praxis II subject assessment of the Alabama Prospective Teacher Testing Program (APTTP) on test administration dates prior to September 1 of the scholastic year for which the first Preliminary Certificate is requested. Additional information is in Rule 290-3-2-.01(6).
- (iv) A criminal history background check as required by the Alabama Child Protection Act of 1999, as amended, and Rule 290-3-2-.02(9).
 - 2. An assigned mentor as required in Rule 290-3-2-.01(35).
 - 3. Area-specific requirements:
- (i) <u>Speech or Language Impairment</u> requires an earned master's degree in speech-language pathology or communication sciences and disorders (with a speech and language emphasis) from a regionally accredited senior institution.
- (ii) <u>Library-Media</u> requires an earned master's degree in library science from a regionally accredited senior institution.

SUPP. NO. 10-4 290-3-2-.15(5)(a)3.(iii)

TEACHER CERTIFICATION

290-3-2-.15(5)(b)4.

- (iii) <u>School Counseling</u> requires an earned master's degree from a regionally accredited senior institution and <u>one</u> of the following options:
- (I) A valid Professional Educator Certificate and completion of a Class A State-approved program in School Counseling, but without the two full years of full-time educational experience required for issuance of the Class A Professional Educator Certificate in School Counseling.
- (II) A valid professional certificate in School Counseling issued by another state or DoDEA, but without the two full years of full-time educational experience required for issuance of the Class A Professional Educator Certificate in School Counseling.
- (III) Completion of a master's degree level program in an area of counseling other than School Counseling at a regionally accredited senior institution <u>and</u> a valid license as an Associate Licensed Counselor or a Licensed Professional Counselor (LPC) issued by a state board of examiners in counseling.
- (b) Requirements for the <u>second</u> Preliminary Certificate for individuals issued a first Preliminary Certificate for the 2011-2012 scholastic year and thereafter:
- 1. A recommendation on Supplement PRE from a superintendent or administrator who wishes to employ the applicant full-time in the area and at the grade level for which the second Preliminary Certificate is to be issued except as noted in Rule 290-3-2-.15(1)(e). The complete Preliminary Certificate application must be received in the Office no later than October 1 of the scholastic year for which the second Preliminary Certificate is requested. Additional information is in Rule 290-3-2-.15(2).
- 2. Verification on Supplement EXP of full-time experience in the teaching field or area of instructional support and at the grade level for which the first Preliminary Certificate was issued, while holding the first Preliminary Certificate except as noted in Rule 290-3-2.15(1)(e).
- 3. Verification of a <u>current</u> passing score attained on the prescribed Praxis II subject assessment of the Alabama Prospective Teacher Testing Program (APTTP). This requirement becomes effective upon adoption by the Alabama State Board of Education of a newly prescribed subject assessment. This requirement also becomes effective upon adoption by the Alabama State Board of Education of an increased minimum passing score on an existing prescribed subject assessment. The date by which these requirements must be met will be prescribed by the Alabama Department of Education. Additional information is in Rule 290-3-2-.01(6).
 - 4. An assigned mentor as required in Rule 290-3-2-.01(35).

SUPP. NO. 10-4 290-3-2-.15(5)(c)

TEACHER CERTIFICATION

290-3-2-.15(5)(e)

- (c) Requirements for the <u>third</u> Preliminary Certificate for individuals issued a first Preliminary Certificate for the 2011-2012 scholastic year and thereafter:
- 1. A recommendation on Supplement PRE from a superintendent or administrator who wishes to employ the applicant full-time in the area and at the grade level for which the third Preliminary Certificate is to be issued except as noted in Rule 290-3-2-.15(1)(e). The complete Preliminary Certificate application must be received in the Office no later than October 1 of the scholastic year for which the third Preliminary Certificate is requested. Additional information is in Rule 290-3-2-.15(2).
- (i) Issuance of a third Preliminary Certificate may be recommended only if all requirements for a Professional Educator Certificate have been met <u>except</u> for the two full years of full-time experience prescribed in Rule 290-3-2-.15(8).
- (ii) Issuance of the third Preliminary Certificate requires that the applicant must document at least one full year of the two full years of full-time experience prescribed in Rule 290-3-2-.15(8).
- 2. Verification on Supplement EXP of full-time experience in the teaching field or area of instructional support and at the grade level for which the second Preliminary Certificate was issued, while holding the second Preliminary Certificate except as noted in Rule 290-3-2.15(1)(e).
- 3. Verification of a <u>current</u> passing score attained on the prescribed Praxis II subject assessment of the Alabama Prospective Teacher Testing Program (APTTP). This requirement becomes effective upon adoption by the Alabama State Board of Education of a newly prescribed subject assessment. This requirement also becomes effective upon adoption by the Alabama State Board of Education of an increased minimum passing score on an existing prescribed subject assessment. The date by which these requirements must be met will be prescribed by the Alabama Department of Education. Additional information is in Rule 290-3-2-.01(6).
 - 4. An assigned mentor as required in Rule 290-3-2-.01(35).
- (d) Information about the degree level of a Preliminary Certificate or Preliminary Certificate Through Exception is in Rule 290-3-2-.15(7).
- (e) Information about applying for a Professional Educator Certificate is in Rule 290-3-2-.15(8).

SUPP. NO. 10-4 290-3-2-.15(6)

TEACHER CERTIFICATION

290-3-2-.15(6)(b)4.

(6) Requirements for the Issuance of a Preliminary Certificate Through Exception for the 2011-2012 Scholastic Year and Thereafter

- (a) Only public school superintendents may use Supplement ADM to recommend that the State Superintendent of Education consider an exception to issue a Preliminary Certificate Through Exception.
- 1. Recommendations may only be made for an applicant who is not eligible for certification in a teaching field or area of instructional support through any other approach.
 - 2. Recommendations for exceptions will be considered in the areas of:
- (i) Instructional Leadership (formerly Educational Administration). Additional information is in Rule 290-3-2-.15(3)(g).
 - (ii) School Counseling.
 - (iii) Secondary and P-12 teaching fields.
- 3. Exceptions will <u>not</u> be considered in School Psychometry, School Psychology, or Instructional Leadership (formerly Educational Administration) for individuals in indicated in Rule 290-3-2-.15(3)(g).
- 4. Exceptions will not be considered in Reading through Supplement ADM. Supplement ADR, if available, may be used for a public school superintendent to recommend that the State Superintendent of Education consider an exception to issue a Preliminary Certificate Through Exception in Reading as prescribed in Rule 290-3-2-.16.
- (b) Requirements for the <u>first</u> Preliminary Certificate Through Exception issued for the 2011-2012 scholastic year and thereafter:
- 1. A recommendation on Supplement ADM from a superintendent who wishes to employ the applicant full-time in the area and at the grade level for which the first Preliminary Certificate Through Exception is to be issued except as noted in Rule 290-3-2-.15(1)(e). The complete Preliminary Certificate Through Exception application must be received in the Office no later than October 1 of the scholastic year for which the first Preliminary Certificate Through Exception is requested.
- 2. Verification of <u>current</u> passing scores attained on the basic skills assessments and the prescribed Praxis II subject assessment of the Alabama Prospective Teacher Testing Program (APTTP) on test administration dates prior to September 1 of the scholastic year for which the first Preliminary Certificate Through Exception is requested. Additional information about the APTTP is in Rule 290-3-2-.01(6).
- 3. A criminal history background check as required by the Alabama Child Protection Act of 1999, as amended, and Rule 290-3-2-.02(9).
 - 4. An assigned mentor as required in Rule 290-3-2-.01(35).

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TEACHER CERTIFICATION

290-3-2-.15(6)(b)5.(ii)(II)II.

- 5. Area-Specific Requirements:
- (i) Instructional Leadership (formerly Educational Administration) requires <u>both</u> of the following:
- (I) Verification on an official transcript of an earned master's degree with a minimum grade point average (GPA) overall of at least 3.0 from a regionally accredited senior institution earned prior to September 1 of the scholastic year for which the first Preliminary Certificate Through Exception is requested. An applicant who holds a valid Class A or Class AA Professional Educator Certificate or Professional Leadership Certificate is exempt from the GPA requirement.
- (II) Verification on official transcript(s) from a regionally accredited senior institution of credit earned prior to September 1 of the scholastic year for which the first Preliminary Certificate Through Exception is requested in the areas of ethics, planning, human and nonhuman resource development, diversity, public relations, technology, and personnel and financial management.
 - (ii) School Counseling requires one of the following options:
- (I) Completion of a Class A State-approved program in School Counseling <u>prior to</u> September 1, 2007. This option only exists for an applicant who does not hold a Professional Educator Certificate in a teaching field and requires all of the following:
- I. Verification on an official transcript of a master's degree earned prior to September 1, 2007, with a minimum grade point average (GPA) overall of at least 3.0 from a regionally accredited senior institution.
- II. Verification on an official transcript that all coursework required in the Class A State-approved program in School Counseling was earned prior to September 1, 2007.
- III. Verification in a letter from the Certification Officer of the Alabama institution that the Class A State-approved program in School Counseling was completed prior to September 1, 2007.
- (II) Completion of a Class A State-approved program in School Counseling on or after September 1, 2007, but prior to September 1 of the scholastic year for which the first Preliminary Certificate Through Exception is requested. This option only exists for an applicant who does not hold a Professional Educator Certificate in a teaching field and requires all of the following:
- I. Verification on an official transcript of a master's degree earned on or after September 1, 2007, but prior to September 1 of the scholastic year for which the first Preliminary Certificate Through Exception is requested with a minimum grade point average (GPA) overall of at least 3.0 from a regionally accredited senior institution.
- II. Verification on an official transcript that all coursework required in the Class A State-approved program in School Counseling was earned on or after September 1, 2007, but prior to September 1 of the scholastic year for which the first Preliminary Certificate Through Exception is requested.

REPEAL

SUPP. NO. 10-4 TEACHER CERTIFICATION 290-3-2-.15(6)(b)5.(ii)(II)III. 290-3-2-.15(6)(b)5.(iii)(II)II.

- III. Verification in a letter from the Certification Officer of the Alabama institution that the Class A State-approved program in School Counseling was completed on or after September 1, 2007, but prior to September 1 of the scholastic year for which the first Preliminary Certificate Through Exception is requested.
- IV. Verification of a valid license as an Associate Licensed Counselor or as a Licensed Professional Counselor (LPC) issued by a state board of examiners in counseling.
- (iii) Teaching fields (Grades 6-12 or P-12) require at least an earned bachelor's degree with a minimum grade point average (GPA) overall of at least 2.5 from a regionally accredited senior institution earned prior to September 1 of the scholastic year for which the first Preliminary Certificate Through Exception is requested. An applicant who holds a valid Professional Educator Certificate or Professional Leadership Certificate is exempt from the GPA requirement. This option requires completion of the following prior to September 1 of the scholastic year for which the first Preliminary Certificate Through Exception is requested:
- (I) For teaching fields <u>other than</u> Driver and Traffic Safety Education, <u>one</u> of the following options:
- I. Verification on an official transcript from a regionally accredited senior institution of an academic major in the teaching field for which the first Preliminary Certificate Through Exception will be sought. Additional information is in Rule 290-3-2-.15(2).
- II. Verification on an official transcript(s) from a regionally accredited senior institution(s) of at least 32 semester hours appropriate to the teaching field for which the first Preliminary Certificate Through Exception is to be issued, including at least 19 semester hours of upper-division credit. Additional information is in Rule 290-3-2-.15(2).
- (II) For the teaching field of Driver and Traffic Safety Education, the following are required:
- I. Verification of a valid Professional Educator Certificate or Professional Leadership Certificate.
- II. Verification on an official transcript of credit earned for both the basic and advanced driver's education coursework provided by the Alabama Department of Education in cooperation with one or more Alabama institutions of higher education with State-approved teacher education programs. The credit for both courses must be earned prior to September 1 of the scholastic year for which the first Preliminary Certificate Through Exception is requested.

SUPP. NO. 10-4 290-3-2-.15(6)(c)

TEACHER CERTIFICATION

290-3-2-.15(6)(d)1.(i)

- (c) Requirements for the <u>second</u> Preliminary Certificate Through Exception for individuals issued a first Preliminary Certificate Through Exception for the 2011-2012 scholastic year and thereafter:
- 1. A recommendation on Supplement ADM from a superintendent who wishes to employ the applicant full-time in the area and at the grade level for which the second Preliminary Certificate Through Exception is to be issued except as noted in Rule 290-3-2-.15(1)(e). The complete Preliminary Certificate Through Exception application must be received in the Office no later than October 1 of the scholastic year for which the second Preliminary Certificate Through Exception is requested. Additional information is in Rule 290-3-2-.15(2).
- 2. Verification on Supplement EXP of full-time experience in the teaching field or area of instructional support and at the grade level for which the first Preliminary Certificate Through Exception was issued, while holding the first Preliminary Certificate Through Exception except as noted in Rule 290-3-2.15(1)e.
- 3. Verification of a <u>current</u> passing score attained on the prescribed Praxis II subject assessment of the Alabama Prospective Teacher Testing Program (APTTP). This requirement becomes effective upon adoption by the Alabama State Board of Education of a newly prescribed subject assessment. This requirement also becomes effective upon adoption by the Alabama State Board of Education of an increased minimum passing score on an existing prescribed subject assessment. The date by which these requirements must be met will be prescribed by the Alabama Department of Education. Additional information is in Rule 290-3-2-.01(6).
 - 4. An assigned mentor as required in Rule 290-3-2-.01(35).
- 5. If the Preliminary Certificate Through Exception is in the area of Instructional Leadership, verification of completion of training in personnel evaluation for the different categories of personnel for whom the applicant is responsible.
- (d) Requirements for the <u>third</u> Preliminary Certificate Through Exception for individuals issued a first Preliminary Certificate Through Exception for the 2011-2012 scholastic year and thereafter:
- 1. A recommendation on Supplement ADM from a superintendent who wishes to employ the applicant full-time in the area and at the grade level for which the third Preliminary Certificate Through Exception is to be issued except as noted in Rule 290-3-2-.15(1)(e). The complete Preliminary Certificate Through Exception application must be received in the Office no later than October 1 of the scholastic year for which the third Preliminary Certificate Through Exception is requested. Additional information is in Rule 290-3-2-.15(2).
- (i) Issuance of a third Preliminary Certificate Through Exception may be recommended only if all requirements for a Professional Educator Certificate or Professional Leadership Certificate have been met except for the two full years of full-time experience prescribed in Rule 290-3-2-.15(8).

SUPP. NO. 10-4 290-3-2-.15(6)(d)1.(ii)

TEACHER CERTIFICATION

290-3-2-.15(8)(a)

- (ii) Issuance of the third Preliminary Certificate Through Exception requires that the applicant must document at least one full year of the two full years of full-time experience prescribed in Rule 290-3-2-.15(8).
- 2. Verification on Supplement EXP of full-time experience in the teaching field or area of instructional support and at the grade level for which the second Preliminary Certificate Through Exception was issued, while holding the second Preliminary Certificate Through Exception except as noted in Rule 290-3-2.15(1)(e).
- 3. Verification of a <u>current</u> passing score attained on the prescribed Praxis II subject assessment of the Alabama Prospective Teacher Testing Program (APTTP). This requirement becomes effective upon adoption by the Alabama State Board of Education of a newly prescribed subject assessment. This requirement also becomes effective upon adoption by the Alabama State Board of Education of an increased minimum passing score on an existing prescribed subject assessment. The date by which these requirements must be met will be prescribed by the Alabama Department of Education. Additional information is in Rule 290-3-2-.01(6).
 - 4. An assigned mentor as required in Rule 290-3-2-.01(35).
- (d) Information about the degree level of a Preliminary Certificate Through Exception is in Rule 290-3-2-.15(7).
- (e) Information about applying for a Professional Educator Certificate or Professional Leadership Certificate is in Rule 290-3-2-.15(8).

(7) Degree Level.

- (a) A Preliminary Certificate or Preliminary Certificate Through Exception is issued at the level of the applicant's highest earned degree (bachelor's, master's, education specialist, but not doctoral) from a regionally accredited senior institution with a major in the teaching field or area of instructional support for which the Preliminary Certificate or Preliminary Certificate Through Exception is to be issued.
- (b) A Preliminary Certificate Through Exception in Driver and Traffic Safety Education is issued only at the bachelor's degree level.
- (8) Application for the Professional Educator Certificate or Professional Leadership Certificate. The appropriate Professional Educator Certificate or Professional Leadership Certificate may be issued through the Preliminary Certificate approach when the applicant has met all requirements for the first and second Preliminary Certificates (and if applicable, the third Preliminary Certificate) and all of the following requirements:
- (a) Verification on Supplement EXP of two full years of full-time experience in the teaching field or area of instructional support and at the grade level for which the Preliminary Certificates were issued, while holding a Preliminary Certificate except as prescribed in Rule 290-3-2-.15(1)(e).

SUPP. NO. 10-4 290-3-2-.15(8)(b)

TEACHER CERTIFICATION

290-3-2-.15 History

- (b) Verification of a <u>current</u> passing score attained on the prescribed Praxis II subject assessment of the Alabama Prospective Teacher Testing Program (APTTP). This requirement becomes effective upon adoption by the Alabama State Board of Education of a newly prescribed subject assessment. This requirement also becomes effective upon adoption by the Alabama State Board of Education of an increased minimum passing score on an existing prescribed subject assessment. The date by which these requirements must be met will be prescribed by the Alabama Department of Education. Additional information is in Rule 290-3-2-.01(6).
 - (c) Completion of all requirements on Supplement PRE or Supplement ADM.
- (d) Submission of an application for certification on Form APP. The complete application must be received in the Office no later than October 1 of the year of expiration of the second Preliminary Certificate (and if applicable, no later than October 1 of the year of expiration of the third Preliminary Certificate). An individual who does not apply by this date may forfeit the right to seek a Professional Educator Certificate or Professional Leadership Certificate through this approach.

Author: Joseph B. Morton.

Statutory Authority: Ala. Const. Amend. 284, Ala. Code §§16-3-11, 16-23-1 and 16-23-2 (1975). **History:** Adopted new, 8-4-08, effective 9-18-08; repealed and adopted new 12-9-10, effective 1-14-11.