

APA-2
11/96

Alabama Securities Commission

NOTICE OF INTENDED ACTION

AGENCY NAME: Alabama Securities Commission

RULE NO. & TITLE: Rule 830-x-6-.11 Limited Offering Exemption

INTENDED ACTION: Amend Rule

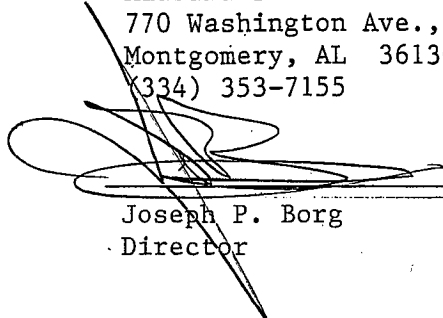
SUBSTANCE OF PROPOSED ACTION: Amends Rule to make consistent filing fee
with provisions of 8-6-11(c), Code of Alabama 1975

TIME, PLACE, MANNER OF PRESENTING VIEWS:

You may present your views orally or in writing to Jane LeCroy Brannan, Associate Counsel, Alabama Securities Commission, 770 Washington Ave., Ste. 570, Montgomery, AL 36130-4700; Telephone: (334) 353-7155; E-mail: Jane.Brannan@asc.alabama.gov

FINAL DATE FOR COMMENT AND COMPLETION OF NOTICE: September 4, 2009

CONTACT PERSON AT AGENCY: Jane LeCroy Brannan, Associate Counsel
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Joseph P. Borg
Director