

Alabama Securities Commission

NOTICE OF INTENDED ACTION

AGENCY NAME: Alabama Securities Commission

RULE NO. & TITLE: 830-x-6-.13, Notice Filing Requirement for Federal Regulation Crowdfunding

INTENDED ACTION: New rule

SUBSTANCE OF PROPOSED ACTION: The proposed rule creates a notice filing in conformity with recently enacted federal crowdfunding regulations.

TIME, PLACE, MANNER OF PRESENTING VIEWS: Written or verbal comments will be received by the Commission until 5:00 P.M. on December 2, 2016. Comments should be directed to P.O. Box 304700, Montgomery, AL 36130-4700, or emailed to Amanda.Senn@asc.alabama.gov, or by telephone at 334-242-2984.

FINAL DATE FOR COMMENT AND COMPLETION OF NOTICE: December 5, 2016

CONTACT PERSON AT AGENCY: Amanda Senn, General Counsel
Alabama Securities Commission
(334) 242-2984



Amanda Senn, General Counsel